CWA

Conte Wealth Advisors

WHY JOIN CONTE WEALTH ADVISORS

ABOUT US

Personalized Advice. Comprehensive Solutions.

Conte Wealth Advisors is a full service, boutique style financial services firm that services individuals across the United States.

At **CWA**, our focus is to provide advisors with the best client experience possible all while preserving your independence. We offer a collaborative environment where the sharing of best practices and expertise is commonplace but not mandated and that service-quality is not determined by an advisor's production level.

Whether you are seeking efficiencies of scale to grow your business, looking to transition your practice into an independent space or perhaps just looking for a seamless transition into retirement, ***we can help***. We will help you to minimize the amount of administrative 'white noise' inherent in our industry so that you may focus on what matters most to you.

OUR FOOTPRINT

With 18 advisors located in Camp Hill, Erie, Fort Myers, Lakeport, New York, Pittsburgh and York, 16 support staff, and offices in Camp Hill, York and Fort Myers, **CWA** is always looking to expand to new regions.

CWA CAMP HILL

CWA FORT MYERS

CWA PITTSBURGH

CWA ERIE

CWA YORK

CWA NEW YORK

CWA LAKEPORT

WHAT WE OFFER

TRANSITION SUPPORT

90 - Day Transition Support.

IN-HOUSE MARKETING

Your powerhouse for marketing solutions.

INVESTMENT SOLUTIONS

A wide and diverse selection.

REMOTE ADMIN PROGRAM

A successful practice starts with reliable partners.

Transition Support

The first 90 days after an advisor has affiliated, **CWA** is committed to transitioning the advisor's business over. We will create and provide all of the necessary paperwork needed to transition the practice working closely with the advisor and/or their staff ensuring the practice is moved efficiently and effectively.

Your transition is our top priority.

In-House Marketing

One of the the benefits of joining **CWA** is the access to our in-house marketing team. Our team is uniquely positioned to not only be a tool for marketing strategy consulting, but can provide you with everything from customized sales collateral, marketing strategy ideas and discussions, to event planning guidance and design.

Some areas where our team can be of service are:

Investment Solutions

Cambridge has a wide and diverse universe of company and product offerings – in fact, we believe they have one of the broadest product footprints in the industry while maintaining thorough due diligence. Cambridge considers quality and choice to be equally important as you consider your product choices.

Their relationships provide you access to a vast array of product companies providing variable annuities, alternative investments with offerings that include business development companies, real estate investment trusts, oil and gas, equipment leasing, managed futures, private equity and numerous direct third-party money manager relationships with access to platforms with hundreds of managers.

Plug in to their innovative fee-based resources and sophisticated expertise to identify the right money managers for your clients, learn how to use WealthPort®, and increase efficiency.

RETIREMENT PLAN SOLUTIONS

INVESTMENT SOLUTIONS

FEE-BASED SOLUTIONS

WEALTHPORT®

About Cambridge

Cambridge Investment Research, Inc. is an internally controlled and operated financial solutions firm focused on serving independent financial professionals and their clients.

Cambridge provides a broad range of solutions in the areas of advice, technology, business growth, consulting, outsourcing, and more. Cambridge is headquartered in Fairfield, Iowa, the firm also operates an office space in Phoenix, Arizona.

- FOUNDED IN 1981[1]
- SERVES OVER 3,700 FINANCIAL PROFESSIONALS[2]
- 800 HOME OFFICE ASSOCIATES[2]
- 13 TIME BROKER-DEALER OF THE YEAR[3]
- TOP WORKPLACE IN IOWA[4]
- TOP WORKPLACE USA

A few of the firm's offerings include:

- Asset management and financial planning solutions with portfolio overlay management
- Platform choices comprised of a corporate RIA or independent RIA for dual registration or fee-only business models
- Multiple clearing and custodial platforms
- A full spectrum of middle office services designed for solo/ensembles, branches, and super branches
- Succession and acquisition financial lending
- Outsourcing services centered on independent financial professional firms, including business consulting, client marketing, and virtual office assistants

Cambridge's national reach includes: Cambridge Investment Research Advisors, Inc. – a large corporate RIA; and Cambridge Investment Research, Inc. – an independent broker-dealer, member FINRA/SIPC

[1]Cambridge and its predecessor broker-dealer. [2]As of September 30, 2021. [3]Investment Advisor magazine, 2020, 2019, 2018, 2017, 2016, 2015, 2014, 2013, 2012, 2010, 2008, 2007, Division IV; 2003, Division III. [4]The Top Workplace in Iowa, 2020 - 2011, recognition is determined by associate feedback through an anonymous survey conducted by Energage, an independent research company.

Remote Admin

CWA's Remote Admin Program is a tremendous opportunity for any advisor affiliated with **Conte Wealth Advisors.** This program is designed to provide responsive administrative support to the field in a cost efficient manner. We accomplish this by billing you only for the time spent executing your administrative requests.

Simple. Efficient. Reliable.

Practice Protection

You've spent years building your business, if the unexpected happens...will you be prepared?

Do you have a Contingency or Succession Plan firmly in place? In writing? Most advisors don't.

That is why **the day you affiliate with Conte Wealth Advisors**, you will be automatically provided a Contingency/Succession Plan in writing.

The agreement will become active in the event you retire, become permanently disabled and are unable to act in the capacity of a financial advisor or you are deceased, CWA will offer to purchase your practice at **2x the recurring revenue**. If you already have a Contingency or Succession Plan in place—we will ensure that it takes precedence and will be honored.

Only 29% of advisors have a continuity/succession plan securely in place.

At Conte Wealth Advisors we will use a 2x multiple when valuing your practice.

If not, you can rest assured that your clients and your family will continue to receive the care and support they deserve.

WHY CHOOSE US

Independence

Through our alliance with Cambridge Investment Research, Inc., Conte Wealth Advisors provides advisors resources aimed at promoting efficiency and growth so they can achieve the kind of success they envision for their business.

Our team of in-house marketing and administrative support will allow you to spend more time doing those business building activities you would prefer to do, and less time mired in administrative tasks.

Freedom

It's why you became an advisor.

Your client's needs are vast, so should your ability to service those needs. Whether its access to a broad spectrum of investment solutions or technology infrastructure, Conte Wealth Advisors, provides you the ability to run your business, your way.

We provide you with the freedom and flexibility to determine where you want your business to go and how.

Service

The only true element of our industry that we can fully control – a commitment we take very seriously.

At CWA, we are committed to thoroughly understanding the needs of your business and delivering timely and accurate solutions.

YOUR SUCCESS GROWS WITH OUR TEAM.

FOR MORE INFORMATION

ConteWealth.com
(888) 717-2921

CWA
CONTE WEALTH ADVISORS

What you need to feel secure.